

Notice Pursuant to Bankruptcy Rule 3001

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re: Lehman Brothers Holdings Inc.

Case No.: 08-13555 (JMP)

Court ID (Court Use Only) \_\_\_\_\_

NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY  
PURSUANT TO BANKRUPTCY RULE 3001(E)(2)

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

<u>Name of Transferee:</u> <b>Lienhardt &amp; Partner Privatbank Zürich AG</b>	<u>Name of Transferor:</u> <b>UBS AG</b>
Notices to Transferee should be sent to:  Lienhardt & Partner Privatbank Zürich AG c/o Entris Banking AG Mattenstrasse 8 3073 Gümligen Switzerland Attn: Corporate Actions <a href="mailto:corpoateactions@entris.ch">corpoateactions@entris.ch</a>	Court Record Address of the Transferor: (Court use only)
Name and Address where transferee payments should be sent (if different from above):	Name and Current Address of Transferor UBS AG Bahnhofstrasse 45 8001 Zurich, Switzerland Attn: Mr. Hugo Koller +41 44 235 37 36 - hugo.koller@ubs.com
<u>Amount of Claim Being Transferred:</u>  USD 15'000.00 (Face Amount)	<b>NOTE: THIS IS A PARTIAL TRANSFER OF CLAIM ONLY --- SEE ATTACHED EVIDENCE OF TRANSFER FOR DETAILS</b>
<u>Court Claim No. (if known):</u> 59233	
<u>Date Claim Filed:</u> October 30, 2009	

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_

Roman Grob, authorized representative  
Lienhardt & Partner Privatbank Zürich AG

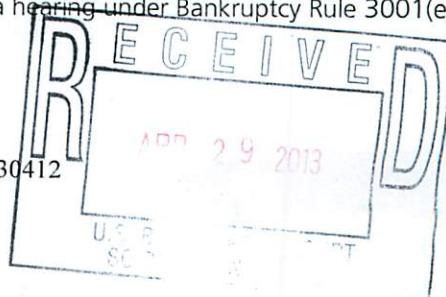
Date: 04.18.2013

Roland Sager

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 & 3571. As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).

TOC127 UBS0230 LPZEntris 15K 20130412

Page 1 of 3



**Evidence of Transfer**

**EVIDENCE OF TRANSFER OF CLAIM**

TO: United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")  
One Bowling Green  
New York, New York 10004  
Attention: Clerk of the Court

AND TO: Lehman Brothers Holdings Inc. (the "Debtor")

Case Name and Number: *In re Lehman Brothers Holdings Inc., No. 08-13555 (JMP)*

Proof of Claim Number: 59233 (the "Proof of Claim")

Portion of the Claim Subject to Transfer (the "Transferred Claim"):

**This Evidence of Transfer of Claim relates solely to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:**

DESCRIPTION OF SECURITY	ISIN	ISSUER	GUARANTOR	FACE AMOUNT
CPN Notes Lehman Bros Treasury BV 2006-17.11.2008 / Bskt Shs	XS0274445120	LBT BV	LBH Inc.	CHF 15'000.00 out of CHF 20'000.00

**The aggregate amount of the Transferred Claim is To Be Determined. For the avoidance of doubt, these Lehman Programs Securities are described in the Addendum to the Proof of Claim.**

**UBS AG, ("Transferor")** hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:

**Lienhardt & Partner Privatbank Zürich AG**  
c/o Entris Banking AG  
Mattenstrasse 8  
3073 Gümligen  
Switzerland  
Phone: ++41 31 950 73 92  
Attn: Corporate Actions  
EMAIL corporateactions@entris.ch

("Transferee") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.





Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the Transferred Claim to Transferee and recognizing the Transferee as the sole holder of the Transferred Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated April 12, 2013.

**UBS AG**

Transferor

By: 

Name: Hugo Koller

Title: Director

By: 

Name: Jean-Claude Besson

Title: Associate Director

ACKNOWLEDGED BY:

**Lienhardt & Partner Privatbank Zürich AG**

Transferee

By: 

Name: Roman Grob

Title: authorized representative



Roland Sager

Lienhardt & Partner  
Privatbank Zürich AG  
Rämistrasse 23  
Postfach CH-8024 Zürich

Managing Partners  
Dr. Markus Graf  
Christian Lienhardt

Pg 4 of 5

**Lienhardt & Partner**  
PRIVATBANK ZÜRICH

our reference:

Nico Brunner

Tel. : +41 44 268 61 77

Fax : +41 44 268 61 62

nico.brunner@lienhardt.ch

**Registered mail**

Lehman Bros. Claims Processing  
c/o Epiq Bankruptcy Solutions, LLC  
FDR Station, PO Box 5076  
New York, NY 10150-5076  
United States of America

April 23<sup>rd</sup>, 2013

We have enclosed

- ☒ for your information
- ☐ as agreed / per letter
- ☐ please phone
- ☐ please sign / initial
- ☐ please check
- ☐ returned with thanks
- ☐ please return
- ☒ for your file
- ☐ received by error
- ☐

**Claim Transfer Agreement Lehman Program Securities**

Dear sirs

Please find enclosed the Claim Transfer Agreement for Lehman Program Securities from UBS AG (Transferor), Zurich, Switzerland, to Lienhardt & Partner (Transferee), c/o Entris Banking AG, Guemligen, Switzerland.

Yours sincerely

Lienhardt & Partners  
Private Bank Zurich Ltd.



Roman Grob

Enclosure



Roland Sager



23.04.13

CH-8024  
Zürich

11/9/91

009.80

PRIORITY  
Standard

DIE POST



FILED / RECEIVED

APR 26 2013

EPIQ SYSTEMS

